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Mail Label No. EM 194129165 US Dated: February 11, 2009

Docket No.: UMY-038

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Zuoshang Xu et al.

Application No.: 10/700,816

Confirmation No.: 9864

Filed: November 4, 2003

Art Unit: 1635

For: ALLELE-SPECIFIC RNA INTERFERENCE

Examiner: S. McGarry

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (SIDS)

#### Dear Madam:

In accordance with 37 CFR 1.97, Applicants hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of the documents required under 37 CFR 1.98(a)(2) accompany this statement.

Applicants have not submitted copies of each cited U.S. patent in accordance with 37 CFR 1.98(a)(2)(ii). Applicants submit herewith copies of non-patent references in accordance with 37 CFR 1.98(a)(2).

Applicants also call to the attention of the Examiner the following commonly owned copending applications directed to related subject matter and Office Actions cited therein:

	Co-Pen	ding Applications		
Serial No.	Filing Date	First Named Inventor	Docket No.	
10/715,229	November 17, 2003	Tariq M. Rana	UMY-041RCE2	
10/571,705	March 13, 2006	Neil Aronin	UMY-083US	
11/698,689	January 25, 2007	Neil Aronin	UMY-121	

02/13/2009 CCHAU1

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Application No.: 10/700,816 Art Unit 1635

Office Actions (copies enclosed)					
Serial No.	Date Mailed from USPTO	Examiner			
10/715,229	May 27, 2005	Kimberly Chong			
10/715,229	August 15, 2006	Kimberly Chong			
10/715,229	April 2, 2007	Kimberly Chong			
10/715,229	December 11, 2007	Kimberly Chong			
11/698,689	October 20, 2008	Louis Wollenberger			

Applicants understand the Examiner can access additional papers from the prosecution of the cited cases electronically via PALM. However, if the Examiner would like paper copies, he or she is invited to call the undersigned who will supply them.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per se* as a representation that such document is prior art. Moreover, Applicants understand the Examiner will make an independent evaluation of the cited documents.

This Supplemental Information Disclosure Statement is filed more than three months after the U.S. filing date, and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

Please charge our Deposit Account No. 12-0080 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 12-0080, under Order No. UMY-038.

Dated: February 11, 2009

JHV/mch

Respectfully submitted,

James H. Welema

Registration No.: 56,130

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Docket No.: UMY-038

(PATENT)

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Dated: February 11, 2009 Respectfully submitted,

JHV/mch By\_\_\_\_

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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

**Application Number** 10/700,816-Conf. #9864 Filing Date November 4, 2003 First Named Inventor Zuoshang XU Art Unit 1635 Examiner Name S. McGarry Attorney Docket Number **UMY-038** 

Total Number of Pages in This Submission		sion	Attorney Docket Num	UMY-038			
ENCLOSURES (Check all that apply)							
x Fee Transi	mittal Form	Drawing(s)		After Allowance Communication to TC			
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendmer	nt/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After	Final	Petition to Convert to a Provisional Application		Proprietary Information			
Affida	avits/declaration(s)		rney, Revocation rrespondence Address	Status Letter			
Extension	of Time Request	Terminal Disclaimer		Other Enclosure(s) (please Identify below):			
Express At	pandonment Request	Request for Refund		PTO Form SB-08; Copies of Twenty-one (21) References; Return Receipt Postcard			
X Information	n Disclosure Statement	CD, Number of CD(s)					
Certified C Document(	opy of Priority (s)	Landso	ape Table on CD				
Reply to Missing Parts/ Incomplete Application		Remarks					
Repl 37 C	y to Missing Parts under FR 1.52 or 1.53		•				
SIGNATURE OF ARRIVANT ATTORNEY OR ACENT							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
	LAHIVE & OCKFIE	LD, LLP					
Signature		·					
Printed name	James H. Velema						
Date	February 1/1, 2009		Reg. No.	56,130			

PTO/SB/17 (10-07)
Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			Application Number 10/700,816-Conf. #9864		nf. #9864		
FEE TRANSMITTAL			Filing Date		November 4, 2003		
				irst Named Inventor Zuoshang XU			
For FY 2008			Examiner Name				
X Applicant claims small entity status. See 37 CFR 1.27			Art Unit		1635		
TOTAL AMOUNT OF PAYME	ENT	(\$) 180.00		Attorney Docke	t No.	UMY-038	
METHOD OF PAYMEN	T (check all	that apply)		<u>.                                    </u>			
Check Credit	Card	Money Order	Non	ne Other	(please identi	fy):	
X Deposit Account Dep	osit Account Num	nber: 12-	080	Deposi	t Account Name	<sub>e:</sub> Lahive &	Cockfield, LLP
For the above-ider	tified deposit	account, the D	irector is	hereby authoriz	ed to: (che	ck all that apply)	
x Charge fee(s	) indicated be	elow		Char	ge fee(s) in	dicated below, ex	ccept for the filing fee
Charge any a fee(s) under		(s) or underpay and 1.17	ments o	f x Credi	t any overp	ayments	
FEE CALCULATION							
1. BASIC FILING, SEARC	H, AND EXA	MINATION FEI	S				
·	FILIN	NG FEES	SE	ARCH FEES Small Entity		NATION FEES Small Entity	
Application Type	Fee (\$)	Small Entity Fee (\$)	Fee (\$		Fee (\$)		Fees Paid (\$)
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	. 155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	. 0	0	
2. EXCESS CLAIM FEES							Small Entity Fee (\$) Fee (\$)
Fee Description	din - D .:	-)					50 25
Each claim over 20 (inclue Each independent claim of							210 105
Multiple dependent claims		ing reissues)					370 185
		Fee (\$)	Fee	Paid (\$)	ħ/	lultiple Depende	ent Claims
	x	=		(4)			Fee Paid (\$)
HP = highest number of total cl		greater than 20.					
Indep. Claims Extra	Claims	Fee (\$)	Fee	Paid (\$)			
	× .						
HP = highest number of indepe		id for, if greater tha	n 3.				
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50							
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)							
- 100 = /50 = (round up to a whole number) x =							
4. OTHER FEE(S)  Fees Paid (\$)							
Non-English Specification, \$130 fee (no small entity discount)  Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00							
Other (e.g., nate rining seriorinal go).							
SUBMITTED BY	<del>- )                                   </del>			Registration No.	50.400	T-11	(647) 004 0747
Signature				(Attorney/Agent)	56,130		(617) 994-0747
Name (Print/Type) James	Velema					Date	February 11, 2009
	<u> </u>						